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(1939-1999)

February 25, 2002

EXPRESS MAIL LABEL: EL 901961392 US

PATENT APPLICATION
Docket No. T8948.CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of RAM I. MAHATO, et al. for A NOVEL CATIONIC LIPOPOLYMER AS BIOCOMPATIBLE GENE DELIVERY AGENT comprising 50 pages of specification and claims.

Enclosed also are:

- ☒ 13 sheets of drawings (informal)
- ☒ Applicants claim Small Entity Status Under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27
- ☐ An Assignment
- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date of February 25, 2002 by use of Express Mail Label No. EL 901961392 US.
- ☐ Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed references attached (if indicated as being attached by the Information Disclosure Statement).

The filing fee has been calculated as shown below.

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$740
TOT. CLAIMS	35 - 20	15	x 9 =	\$135	x 18 =	\$
IND. CLAIMS	5 - 3	2	x 42 =	\$ 84	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$140		\$280	
ASSIGNMENT FILING FEE			\$40		\$40	
			TOTAL	\$589	TOTAL	

- ☒ A check in the amount of \$589.00 is enclosed to cover the filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 20-0100.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

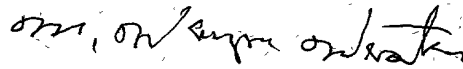
February 25, 2002

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Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 5th day of February, 2002.

Respectfully submitted,



M. Wayne Western
Attorney for Applicant
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Enclosures

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CERTIFICATE OF MAILING BY EXPRESS MAIL

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Date of Deposit:

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I hereby certify that the enclosed new patent application entitled "A NOVEL CATIONIC LIPOPOLYMER AS BIOCOMPATIBLE GENE DELIVERY AGENT", along with the accompanying documents--a return postcard, and a transmittal letter to the Patent Office, in the name of RAM I. MAHATO, et al, --is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box Patent Applications, Washington, D.C. 20231.

Respectfully submitted this *25th* day of February, 2002.

Respectfully submitted,

THORPE NORTH & WESTERN, L.L.P.

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